

Application Form

ABSL Umbrella UCITS Fund PLC

Note: This Application Form is dated 1st November, 2021 and supersedes any previous Application Form.

ABSL Umbrella Fund PLC ("the Company") consists of the following:

Sr. No.	Name
1	India Frontline Equity Fund
2	India Quality Advantage Fund

(collectively, referred as "Funds")

1. Name and address of Applicants*

The shares will be held under the following type of ownership (applicable for individuals only)

Individual Joint Individual

First Applicant / Corporate Applicant

Name

Address

Tel No. **Fax No.**

E-mail

Occupation

(Please select from the occupation chart available on page 5)

Employer/ business name if self-employed:

Name of the business

Nationality (for Individual applicant)– please provide details of any dual citizenship held or previously held:

Passport Number:

Issuing Country:

Expiry Date: D D M M Y Y Y Y

Second Applicant

Name

Address

Tel No. **Fax No.**

E-mail

Occupation

(Please select from the occupation chart available on page 5)

Employer/ business name if self-employed:

Name of the business

Nationality (for Individual applicant)– please provide details of any dual citizenship held or previously held:

Passport Number:

Issuing Country:

Expiry Date: D D M M Y Y Y Y

Third Applicant

Name

Address

Tel No. **Fax No.**

E-mail

Occupation

(Please select from the occupation chart available on page 5)

*Mandatory Data

Employer/ business name if self-employed:

Name of the business

Nationality (for Individual applicant)– please provide details of any dual citizenship held or previously held:

Passport Number:

Issuing Country:

Expiry Date: D D M M Y Y Y Y

Distributor

Name

Address

Tel No. **Fax No.**

E-mail

2. Account information*

Bank account details

Note: These are related to the bank and accounts from which the subscription amounts will be paid/ used for the payment of redemption proceeds. In order to prevent third party payment, the account must be in the name(s) of the registered account holder(s) or the account holder must be identified

2.1 Subscription payments

Account Name

Account Number

Sort Code

Bank Name

Bank Address

In case of payment are made through a correspondent bank, please provide the following information:

Account Holder Name:

Account Number:

Sort Code:

Bank Name:

2.2 Redemption payments

Account Name

Account Number

Sort Code

Bank Name

Bank Address

In case of payment are made through a correspondent bank, please provide the following information:

Account Holder Name:

Account Number:

Sort Code:

Bank Name:

(Note: In case of the investor is under 18 years, payment will be made to the Legal guardian)

Fund	Share Class Name	Currency	ISIN Code	Subscription Amount (in dollars/euros)	Subscription Amount (in words)	Subscription Charge (%) (if applicable)
India Frontline Equity Fund						
India Quality Advantage Fund						
Total Subscription Amount						

Dividend Payout Dividend Reinvestment

*Mandatory Data

3. Expected frequency of trading

Please tick the anticipated frequency.

<input type="checkbox"/> Single transaction	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Monthly	<input type="checkbox"/> Quarterly	<input type="checkbox"/> Semi - annual	<input type="checkbox"/> Annual	<input type="checkbox"/> Ad-hoc
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Expected investment amount*

Expected Total Investment (EUR)	
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4. Ultimate Economic Beneficiary information (Applies to all investor types excluding individual investor)

An ultimate economic beneficiary is the final beneficiary of the investment and who owns – directly or indirectly – more than 25% of the value of the shares subscribed. Please note for Trusts it includes all Trustees, beneficiaries, settlor and protector where applicable. **Important:** In case of indirect ownership, please provide details of the beneficiary layers and we will advise what additional information is required

Please provide the information if any of the account holder or the ultimate economic beneficiary(ies) is/are either:

<input type="checkbox"/> a person holding a legislative, administrative or judicial office, whether appointed or elected:	If applicable, please describe:
<input type="checkbox"/> a person exercising a public function, including for a public agency or public enterprise:	
<input type="checkbox"/> an official or agent of a public international organisation	
<input type="checkbox"/> a person or company manifestly close to or connected with the above persons	

4.1 Ultimate Economic Beneficiary detail

<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms (please tick the right choice)			
Surname(s) <input type="text"/>		First Name <input type="text"/>	
Date of birth <input type="text"/>	Place & Country of birth <input type="text"/>	Occupation and area of activity: <input type="text"/>	Nationality <input type="text"/>
Passport / ID Number: (circle the right choice) <input type="checkbox"/>	Issuing Country: <input type="text"/>	Expiration Date <input type="text"/>	Double Nationality: <input type="checkbox"/> Never <input type="checkbox"/> Renounced <input type="checkbox"/> Yes, which: <input type="text"/>
Registered Address Street Number: <input type="text"/>	Registered Address Town/Village: <input type="text"/>	Registered Address Post Code: <input type="text"/>	Registered Address Country: <input type="text"/>
Mailing Address if different from above Street Number <input type="text"/>	Mailing Address Town/Village: <input type="text"/>	Mailing Address Post Code: <input type="text"/>	Mailing Address Country: <input type="text"/>
Telephone: <input type="text"/>	Fax: <input type="text"/>	E-Mail: <input type="text"/>	Mobile-Phone: <input type="text"/>

<input type="text"/>

<input type="text"/>

<input type="text"/>

Signature of Ultimate Economic Beneficiary

Date & Place

*Mandatory Data

5. Type of Investment

I/we confirm that the investment into the Funds of the company:

Is made on my/our own behalf and is not in favour of a third party

If ticked - Please proceed to point 6

Is made on behalf of third party and that the account will reflect:

a Pooled Account (reflecting a pool of underlying clients typically with a generic designation such as "Clients account" or with a designation that makes reference to a region, product or multiple specific customers)

a Segregated Account (a specific account for a single underlying third party)

In such case, please select either one option below:

the designation of the account will refer to the underlying client name

the designation of the account is coded (the designation contains an internal reference, numbers or combination of letters which do not allow an external party to identify the underlying client)

Please indicate whether the account is used to transact "advised" or "execution-only" business. Per account only one type is possible. If both types of transactions are used, please be advised that two different accounts need to be created. Please note that the information provided is subject to clause 6 of the General Terms and Conditions.

Advised business Execution-only business

6. Source of Funds*

(Individual investors and in case of entities, individual beneficial owners who own more than 25% of investing entity should complete this form.)

Please specify the direct source of funds for the investment

Salary Business Profession Inheritance (please provide some details)

Sale of real estate (please provide some details) Redemption from other investments (please provide some details)

Treasury investment (Corporate)

Other (Please specify) _____

Main Country of Origin of the funds invested: _____

7. Signatures

- Joint or Common owners:** To be signed by all owners individually.
- Minors:** To be signed by the guardian on behalf of the Minor.
- Corporate:** To be signed by a legal representative of the company, supported by documentary evidence of the authorisation to sign on behalf of the corporate.

Signed First Applicant:

Signed Second Applicant:

Date

Date

Signed Third Applicant:

Signature(s) of duly Applicant:authorized applicant(s) (please state representative capacity in)

Date

Date

OCCUPATION: CHART

Individuals	Corporate Investor (including Financial Institutions)
<ul style="list-style-type: none"> • Bar/Night-clubs/Restaurants/Hotels • Brokers Car parks • Casinos/Gambling • Casinos/Games/Entertainment parks • Check cashers • Commerce of alcohol and cigarettes • Commerce of arms/Weapons and munitions • Commerce of art works • Commerce of boats and planes • Commerce of luxury cars • Commerce of luxury goods (jewellery/horses/...) • Commerce of minerals • Convenience store • Hotels/Restaurants/Cafes • Import-Export • Money transmitters and currency change • Nuclear • On-line business • Precious metals/Gold/Jewellery • Real estate • Sale by distance • Taxi • Travel agencies • Travel/Tourism • Others, please specify 	<ul style="list-style-type: none"> • Bank • Nominee • Corporate • Pension Fund • Investment/Mutual Fund • Other Financial Institution • Foundation/Association • Government entity • Trust • Partnership • Insurance Company • Others, please specify

8. IMPORTANT INFORMATION - INSTRUCTIONS FOR COMPLETION OF THE APPLICATION FORM

Investors (“Applicants”) wishing to purchase Participating Shares should send the Application Form, including all relevant Annexures duly completed and signed, to the Administrator together with the requested due diligence documents pursuant to anti-money laundering legislation as set out at Annexure A.

Applicants should confirm that they have read, and understood the Prospectus and any addenda thereto, the Supplement, including the sections titled “Important Notice”, “Taxation” and “Risk Factors”, together with the Constitution of the Company (each as may be amended from time to time) and have accepted the terms and conditions of the application for the Funds .”

“Unless the context otherwise requires, defined terms used in this Application Form shall have the same meaning as those used in the Prospectus in respect of ABSL Umbrella Fund PLC (the “Company”) and/or the relevant supplement in respect of the sub-fund(s) of the Company (“Supplement”) for which you (the “Applicant”) is subscribing (each a “Fund”) as the case may be (together, the “Prospectus”). All references to the Company herein shall, where the context so requires, be deemed to be a reference to both the Company and, or on behalf of, the relevant Fund as applicable. All references herein to “Fund” shall be deemed to be, where context so requires, a reference to each Fund for which the Applicant is subscribing.”

8.1 CRS Customer Information Notice:

Pursuant to the Ireland/U.S. Intergovernmental Agreement in relation to the implementation of FATCA in Ireland and the implementing regulations in Ireland, the Company is required to obtain certain information from all investors in the Company. Such information may be reported to the Revenue Commissioners of Ireland. The CRS was developed by the OECD as a new global standard for the automatic exchange of information between tax authorities in participating

jurisdictions. Under the CRS, governments of participating jurisdictions are required to collect detailed information to be shared with other jurisdictions annually.

Under Irish laws giving effect to the CRS, the Company will be required to obtain and report to the Revenue Commissioners annually certain financial account and other information for all new and existing Shareholders in respect of their holding of Shares in the Fund. This information will include amongst other things, details of the name, address, TIN, place of residence and, in the case of Shareholders who are individuals, the date and place of birth, together with details relating to payments made to Shareholders and their holdings. All Shareholders will be required to provide this information to the Company.

This information is being collected and reported to the Revenue Commissioners by the company to enable the Revenue Commissioners to exchange this information with tax authorities in participating jurisdictions who have signed up to the Multilateral Competent Authority Agreement. Presently, the company will be required to collect and report this information in respect of all Shareholders in the Fund, irrespective of where they are resident. It is expected that where a Shareholder is resident in a jurisdiction which is participating in the CRS, the information submitted by the company to the Revenue Commissioners will be shared by the Revenue Commissioners with the tax authority of that participating jurisdiction. It is expected that where the Revenue Commissioners determine that a Shareholder is resident in a non-participating jurisdiction, no further use will be made by the Revenue Commissioners of the information submitted by the company. Further information may be obtained from the AEOI (Automatic Exchange of Information) web page on [revenue.ie](http://www.revenue.ie/en/business/aeoi/index.html) - <http://www.revenue.ie/en/business/aeoi/index.html>.

Also applicable to residents of Andorra, Anguilla, Aruba, British Virgin Islands, Cayman Islands, Guernsey, Isle of Man, Jersey, Liechtenstein, Monaco, Montserrat, Netherlands Antilles, San Marino, Switzerland, Turks and Caicos Islands and United States of America. Accordingly any reference to EU Member State should be deemed to include these additional countries

8.2 INVESTMENT SUMMARY

Please refer to the relevant Supplement for information in relation to the particular Class of Participating Shares applied for.

8.3 SUBSCRIPTION PROCEDURE

The Administrator shall process subscription requests received at the end of the Initial Offer Period or on any Subscription Date subject to receipt of the following, together with such other documentation or information that may be requested by the Administrator:

- (i) For subscriptions during the initial offer period, fully executed applications for subscriptions by post, fax, Swift, FTP setup (File Transfer Protocol) or Calastone (with the original signed form and supporting documentation in relation to anti-money laundering checks to follow immediately) must be sent to the Administrator no later than 12 pm (Dublin time) the date on which the initial offer period closes and (ii) for subsequent subscriptions, fully executed applications for subscriptions by post, fax, Swift, FTP setup (File Transfer Protocol) or Calastone (with the original signed form and supporting documentation in relation to anti money laundering checks to follow immediately) must be sent to the Administrator no later than 12pm (Dublin time) on the Business Day one Business Day prior to the Subscription Date on which Shares are to be issued"
- In case of a Non Resident Indian subscriber, duly of the Application Form; and
- Cleared funds in US\$ in the Company's bank account no later than the date on which the initial offer period closes (for subscriptions received during the initial offer period), or within four Business Days post the relevant subscription Date on which Shares are to be issued (for subsequent subscriptions) in order for the Participating Shares to be issued at the Initial Issue Price (for initial subscriptions) or at the Subscription Price (for subsequent subscriptions) which shall be based on the Net Asset Value on the relevant Valuation Date and rounded to four decimal places (with USD0.0005 and above being rounded up). Fractions of not less than one thousandth of a Participating Share will be issued. Subscription monies representing smaller fractions of a Participating Share will be retained by the Company.

Applications not received or incorrectly completed applications received by the Administrator by the times stipulated above may, at the absolute discretion of the Directors, be held over and applied on the next following applicable Subscription Date or until such time as a properly completed application form is received by the Administrator on the date on which it is processed. The Directors may, in exceptional circumstances, accept applications for Shares after the relevant Subscription Dealing Deadline specified above provided that they are received before the Valuation Point for the relevant Dealing Day. The due diligence documents ("CDD") have to be provided before the application can be processed.

The original complete applications with supporting documents including CDD (and all relevant Annexures completed and signed) should be submitted to the Administrator at the address below:

Administrator's contact details:

ABSL Umbrella UCITS Fund Plc

C/O RBC Investor & Treasury Services Ireland Limited
George's Quay House 43 Townsend St. Dublin 2, Ireland

Fax: + 353 1 6130401 / +353 1 613 1100 / + 353 1 613 1131 / + 353 1 613 1132 / + 353 1 613 1141 / + 353 1 613 1166

Telephone: + 353 1 4406555

Applications and payment for Participating Shares must be made in accordance with the instructions contained in this Application Form, or any replacement Application Form which is notified by the Company as being available from the Administrator or any other designated agents from time to time.

Neither the Company, the Manager nor the Administrator or any of their directors, officers, employees or agents shall be responsible or liable to any applicant or Shareholder for any loss resulting from the non-receipt of any Application Form, by whichever method it is sent (including non-receipt of facsimile Application Forms).

Each potential investor will be required to represent and warrant in its application that, among other things, it has the authority to purchase the Participating Shares, whether for its own account or on behalf of another person or institution, and that it is able to acquire them without violating applicable law and regulations (including anti-money laundering provisions), and failure to do so may result in the suspension of the processing of such application or any subsequent repurchase requests. Measures aimed at the prevention of money laundering may require an applicant to provide proof of identity of itself and (if an institution) its direct and indirect shareholders and other beneficial owners to the Company, the Directors, the manager, the Administrator, or any of their appointees, delegates or agents. Any monies paid in respect of rejected or called down applications will be returned to applicants without interest at their risk and cost.

In the case of joint applications, the Company may accept instructions concerning voting rights and redemption requests, and make payments to the first applicant, unless it receives orders to the contrary signed by all the joint applicants.

8.4 SUBSCRIPTION PRICE

(i) Initial Offer

During the Initial Offer Period the Participating Shares will be offered to the investors at the initial offer price as set out in Supplement, in respect of the particular Class of Participating Shares.

(ii) Subsequent issues of Participating Shares

The Subscription Price of a Participating Share after the Initial Offer Period will equal the Net Asset Value per Participating Share on the relevant Valuation Date. Each Class of Participating Shares may have a varied fees and charges allocation as approved by the Directors relevant to a particular share class and as provided in the Supplement.

8.5 SUBSCRIPTION CHARGES

The Company will levy such charges as may be applicable to any Series of each Class of Participating Shares of the Company as will be set out in the Supplement pertaining to the particular Class.

8.6 CONFIRMATION OF ISSUE

Acceptance of any application by the Administrator shall be subject to an applicant providing the Administrator with all requested due diligence documents pursuant to anti-money laundering legislation as set out at Annexure A. The Company shall also have the right to refuse any proposed application, in its sole discretion.

Upon receipt of the original Application Form and satisfactory CDD documents, the Administrator will send the applicant an allotment advice within ten (10) Business Days after the relevant Valuation Date, notifying and confirming to them of the number of Participating Shares (if any) issued to them.

The Prospectus allows the Company to exclude or restrict the holding of any Class of Participating Shares by any person or company. Pursuant thereto, the beneficial ownership of any Class of Participating Shares in the Company by U.S. Persons is excluded. The Company is entitled to require any person applying for, or claiming ownership rights in, any Participating Shares to provide satisfactory information to establish that person's nationality and country of residence.

Applicants should promptly check the confirmation statement that is mailed after each transaction in order to ensure that it has been accurately recorded in their account. Claims should be submitted in writing to the Administrator no later than thirty (30) days after the processing date.

8.7 NOTES TO THE APPLICATION FORM

1. If more than one applicant signs, such applicants will be deemed to be joint applicants and joint holders.
2. Unless otherwise stipulated or until the Company has received by registered mail, notice to the contrary from any of the joint holders who have signed the Application Form, each and any one of the joint holders who have signed the Application Form of a registered holding will be recognised by the Company as being entitled to exercise all the rights attached to their Participating Shares including the right to transfer the same.
3. In the absence of contrary instructions, certificates and notices will be sent to the address of the first named applicant.
4. Participating Shares will be issued in registered form without a certificate being issued.
5. In order to comply with EU Regulation 2015/847 and FATF SR VII, we require the following information to be included for all subscription wires made to the Company.

For MT 103, Field 50a is to be used for Ordering Customer's information (either option below, as appropriate)

TAG	Field Name	Information to include
50a (option K)	Ordering Customer	The Payer's account number, name and address
50a (option A)	Ordering Customer	The Payer's account number and the BIC
For MT 202 (Field 52)		
TAG	Field Name	Information to include
52A	Ordering Institution	The Ordering Institution's identifier code (BIC)

9. DECLARATION (PLEASE READ THE DECLARATION CAREFULLY)

- i. I/we[#] have full capacity to subscribe, hold & deal in shares of the Fund

- ii. I/we[#] hereby confirm that I/we[#] wish to irrevocably and unconditionally subscribe for Shares in the Class of the Fund as set out below at the Initial Issue Price / Net Asset Value calculated on the relevant Valuation Day as per the following terms which are acceptable to me/us
- iii. I/We[#] hereby acknowledge that I/we[#] have received and considered a copy of the current Prospectus of the Company, the Supplement thereto relating to the specific fund in which investment is proposed (the "Fund"), the Key Investor Information Document (the "KIID") relating to the Fund, this Application Form, the Privacy Notice at Annexure F hereto and where applicable the most recent annual report and accounts of the Company and (if issued after such report and accounts) its most recent unaudited semi-annual report and that this application is made solely on the terms of the foregoing documentation (where relevant) and subject to the provisions of the Constitution, as may be supplemented from time to time.
- iv. I/We[#] hereby agree to be bound by the provisions of this Application Form, the Prospectus and any addenda thereto, the Supplement, the KIID and the Constitution (each as may be amended from time to time).
- v. I/we[#] hereby apply for the issue of Participating Shares in the Company at an Initial Offer Price of US\$ or at the applicable NAV per Participating Share for Class.
- vi. I/we[#] agree to accept the said number of Participating Shares or any lesser number in respect of which this application may be accepted by the Company on the terms of the Prospectus and subject to the Articles.
- vii. I/we[#] hereby request that our Participating Shares be issued in registered form without certificate(s)[#].
- viii. I/we[#] undertake to transfer payment, to:

	USD	EUR
Intermediary Bank:	Bank of America New York	Bank of America New York
SWIFT:	BOFAUS3N	BOFAGB22
Beneficiary Bank:	RBC Investor Services Bank SA Luxembourg	RBC Investor Services Bank SA Luxembourg
SWIFT:	FETALULL	FETALULL
Final Beneficiary:	ABSL Umbrella UCITS Fund Plc Collection Account	ABSL Umbrella UCITS Fund Plc Collection Account
IBAN number:	Lu073415420034446600	Lu163410000171550001
Ref: For the credit of Beneficiary:	Investor name (mention the individual or both sub fund(s) name)	Investor name (mention the individual or both sub fund(s) name)

- (a) Note: Payment for Participating Shares in the Company must be made by wire-transfer. The Administrator must have sight of cleared funds in the Company's bank account by date on which the initial offer period closes (in respect of the applications made during the initial offer period) and within four Business Days post the relevant Subscription Date on which Shares are to be issued (in respect of applications after the Initial Offer Period). If payment in full has not been received by the relevant times stipulated, the Company and/or the Manager and/or the Investment Manager **may cancel the allotment and the applicant shall indemnify**

and hold harmless the Company, the Directors, the Manager, the Investment Manager, the Administrator and the Depositary for any loss, cost or expense suffered by them as a result of a failure by the Shareholder to pay the subscription monies by the relevant time.

- ix. I/we[#] declare that I/we[#] have informed myself/ ourselves[#] as to, and am/are[#] in compliance with, (a) the legal requirements within my/our[#] jurisdiction for the purchase, holding, or disposal of Shares, and (b) any foreign exchange restrictions which may affect me/us[#], and have informed myself/ourselves[#] as to the income and other tax consequences which may apply in my/our[#] jurisdiction relevant to the purchase, holding or disposal of Shares.
- x. I/we[#] understands that its application is subject to verification for receipt and acceptance by the Company, the Manager, the Investment Manager, the Administrator or any other agent appointed by them
- xi. I/we[#] declares to agree to provide upon request of the Company, the Manager, the Administrator or any agent they may use, the necessary supporting identification documentation as required under Irish law or as requested by the Company, the Manager or the Administrator.
- xii. I/we[#] declare that the information contained in this application form is correct at the time of completion and undertakes to promptly inform the Administrator of any changes
- xiii. I/we[#] declare it has been advised about and taken knowledge of the applicable General Terms and Conditions

10. THE APPLICANT FURTHER REPRESENTS AND WARRANTS THAT:

- i. If a natural person, the Applicant is 18 years of age or over;
- ii. If a corporation, limited liability company, partnership, or other entity, the Applicant is authorized, empowered and qualified to execute this Application Form and to make an investment in the Company as herein contemplated;
- iii. The Application Form is valid, binding and enforceable against the Applicant in accordance with its terms;
- iv. The Applicant is (i) not a US Person (as defined in the Prospectus) or a national, resident or domiciliary of any other jurisdiction where the laws of such jurisdiction prevent the Applicant from applying for Participating Shares (“Non-Qualified Person”) and did not purchase, and was not solicited to purchase, Participating Shares within the United States, and none of the funds used by the Applicant to effect the purchase of Shares have been obtained from a US person and (ii) acquiring the Shares for the Applicant’s own account, for investment purposes and not with a view to resell, distribute or transfer the same or any part thereof, and no other person has any interest in such Participating Shares or in the Applicant’s rights hereunder other than as a shareholder in, partner of, or beneficiary of the Applicant (in the case of any Shares purchased on account of another person, such person shall be identified in writing to the Company and the Applicant shall be required to provide any additional information about such other person requested by the Company);
- v. The Applicant will notify the Administrator immediately if the Applicant becomes a US Person or Non-Qualified Person or if the Applicant becomes aware that the person for whom the Applicant holds Participating Shares has become a US

Person or a Non-Qualified Person;

- vi. The Applicant is either an Accredited Investor or an Institutional Investor, as such terms are defined in the Prospectus and the Applicant undertakes to provide any further additional documentation as requested by the Company, the Manager, the Investment Manager or the Administrator in their discretion to support this statement. The Applicant further acknowledges that he/she has sufficient knowledge and experience in financial, investment and business matters or has taken sufficient independent advice to be capable of evaluating the merits and risks associated with an investment in the Participating Shares;
- vii. The Applicant is aware that the Company is only suitable for investment by investors who are aware and understand the risks involved and are able to withstand the loss of their invested capital and confirms that it has read, fully understood and accepts the risks associated with an investment in the Company;
- viii. All legal requirements necessary to be complied with or observed in order for this Application Form or the issuance of the Participating Shares to be lawful and valid under the laws of any jurisdiction to which the Applicant is subject have been obtained, complied with or observed;
- ix. The Applicant has read and understood the Application Form, the Prospectus and any addenda thereto, the Supplement, the KIID and the Constitution (each as may be amended from time to time) and in deciding to purchase Participating Shares in the Company has relied solely on information contained in the Application Form, the Prospectus and any addenda thereto, the Supplement, the KIID and the Constitution (each as may be amended from time to time).
- x. The Applicant acknowledges that he has had access to such financial and other information concerning the Company, the Directors, the Manager, the Administrator and the Participating Shares as the Applicant has deemed necessary or appropriate in order to make an informed investment decision with respect to the purchase of Participating Shares, including an opportunity to ask questions to and request information from the Directors and Administrator;
- xi. The Applicant acknowledges that the Participating Shares are a speculative investment involving a significant degree of risk, that the Applicant is not dependent upon current cash returns with respect to the investment in the Shares, that significant dividends on the Shares are not expected to be made and that redemption of the Shares is subject to the limitations and restrictions described in the Prospectus and Supplement;
- xii. The Applicant is not entitled to cancel, terminate or revoke this subscription or any of the powers conferred herein;
- xiii. The origin of the funds used by the Applicant to subscribe for the Participating Shares is not from a source domiciled or resident for tax purposes in, or from a citizen of, the United States of America or India and investment funds of the Applicant shall not directly or indirectly be derived from activities that may contravene applicable laws and regulations, including

anti-money laundering laws and regulations.

- xiv. The Applicant understands that the Company cannot accept funds derived directly or indirectly from sources within India from Indian residents where the Company invests in India except if the Applicant has received Indian regulatory approval for such investments or if no approval is required, the Applicant has obtained a legal opinion to that effect.
- xv. The Applicant is not domiciled and does not have its place of business in a prohibited country or territory, or is not an individual or entity listed on relevant anti-money laundering and anti-terrorism financing legislation, rules, regulations, policy statements, circulars and notices approved or issued by regulatory authorities in Singapore, including the Notice titled "Prevention of Money Laundering and Countering the Financing of Terrorism" (dated 24 April 2015) issued by MAS pursuant to section 27B of the Monetary Authority of Singapore Act (Chapter 186)
- xvi. the origin of the funds used by the Applicant to subscribe for the Participating Shares are not the direct or indirect proceeds of drug trafficking or other criminal activity and are not derived from activities that would violate anti-money laundering laws and regulations or are otherwise prejudicing any applicable requirements under the Singapore AML/CFT Regulations or are in no manner related to and will not be in any manner related to any politically exposed person;
- xvii. neither the Applicant nor any person directly or indirectly controlling, controlled by or under common control with such Applicant who is a person identified as a terrorist organisation on any relevant lists maintained by governmental authorities;
- xviii. The Applicant is aware that: (i) the Fund operates in a multi-class structure where each class may have varying terms representing interests in the same investment portfolio; being the Fund (ii) each class can set its own transaction minimums and may vary with respect to certain fees and expenses as set out in the Supplement; (iii) one class could offer access on different terms than another class, as set out in the Supplement; and (iv) certain series may be more appropriate for certain investors
- xix. The Applicant hereby declares that the Participating Shares are not being acquired and will not be held in violation of any applicable law. By subscribing for the Participating Shares, the Applicant will not cause the Company to be obliged to register itself or file any document with any regulatory authority.
- xx. The Applicant hereby undertakes and agrees to accept the number of Participating Shares applied for or any lesser number of Participating Shares that may be allocated to it in respect of which this application may be accepted. In the event that the Directors decide to allocate any lesser number of Participating Shares or not to allocate any Participating Shares to the Applicant, the Applicant accepts that decision as final.
- xxi. The Company may accept this subscription in whole or in part. The execution and delivery of this Application Form, the consummation of the transactions contemplated hereby by the Applicant and the performance of the Applicant's obligations hereunder will not conflict with, or result in any violation of or default under, any provision of any governing instrument applicable to the Applicant, or any agreement or other instrument to which the Applicant is a party or by which the Applicant or any of its properties are bound, or any foreign or domestic permit, franchise, judgment, decree, statute, rule or regulation applicable to the Applicant or the Applicant's business or properties.
- xxii. The Applicant acknowledges and confirms that no representations, warranties or covenants have been made to the Applicant by the Company or any representative or agent of the Company other than those contained in the Prospectus and Supplement.
- xxiii. The Applicant hereby agrees to provide to the Company, the Manager and the Administrator at such times as each of them may request such declarations, certificates, documents or information as each of them may reasonably require in connection with this investment. Should any information furnished to any of them become inaccurate or incomplete in any way, the Applicant hereby agrees to notify the Company, the Manager or the Administrator immediately of any such change and further agrees to request the redemption of Participating Shares in respect which such confirmations have become inaccurate if requested to do so by the Company. The Applicant agrees to notify the Company, the Manager and the Administrator of any change to its tax residency status.
- xxiv. The Applicant will indemnify and hold harmless the Company, the Manager, the Administrator, the Investment Manager and any of their directors, officers, employees or agents from and against any and all losses, claims, damages, expenses and liabilities relating to or arising out of any breach of any representation, warranty, covenant or undertaking made by or on behalf of the Applicant herein.
- xxv. The Company may accept in its sole discretion all or any portion of the purchase price amount for the Participating Shares. If so accepted, this Application Form (a) will be binding upon the Applicant's heirs, successors, legal representatives and assigns, (b) may not be cancelled, terminated or revoked by the Applicant and (c) will be governed by and construed in accordance with the laws of Ireland and the Applicant hereby submits to the exclusive jurisdiction of the Courts of Ireland
- xxvi. In the case of joint applicants, the Applicants hereby directs that on the death of one of them the Participating Shares for which they hereby apply are to be held in the name of and to the order of the survivor or survivors of them or the executor or administrator of the last survivor.
- xxvii. The Applicant hereby confirms that the confirmations, representations, declarations and indemnities made or given herein are continuous and apply to all subsequent purchases of Participating Shares by it in the Company.

11. DATA PROTECTION LAW

I/We have read the Privacy Notice at Annexure F hereto.

¹ SFA Definition of "Accredited investor" extract. See Schedule 1.

³ SF(LCB)R. Retail investor/customer means an investor other than an accredited, expert or institutional investor.

CHECKLIST OF REQUIRED ANTI-MONEY LAUNDERING (AML) INFORMATION/ DOCUMENTATION TO BE PROVIDED BY ALL APPLICANTS

(Please note these are not definitive list of requirements. The Administrator may request additional documentation or clarification upon review of the documents received)

A. PRIVATE INVESTORS/ Individual/ Joint Account

1. National Identity card or passport (certified true copy);
2. If no ID card or passport is available, certified true copy of the driving license or residence permit including full name, signature and photograph can be accepted.
3. If none of these documents exist, a certified true copy of a birth certificate along with a certified true copy of a recent proof of residence (e.g. a council tax bill) can be considered with the approval of our Administrator.
4. Proof of address is required unless the Application Form gives date of birth that can be validated. Contact details including personal, office or work telephone number;
5. Bank statement of the account where subscription monies will be sent from

B. PRIVATE INVESTORS - INVESTMENT ON BEHALF OF A MINOR

1. Written confirmation issued by the legal representatives (e.g. a parent) confirming that the investments are made for the benefit of the minor and confirming the source of wealth if the investment is greater than Euro 5,000.
2. Identity card or passport of the minor – if any - including full name, identification number, signature, photo ID, place and date of birth, expiration date (certified true copy);
3. A certified true copy of the birth certificate or equivalent is required.
4. Bank statement of the account where subscription monies will be remitted

C. BANKS AND FINANCIAL INSTITUTIONS (Regulated in Equivalent Country)

1. A certified true copy of the banking or investment license issued by the regulatory authority.
2. Certificate of incorporation article of association
3. Copy of Wolfsberg AML questionnaire
4. Latest list of authorised signatories who operate on the account; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);

If Banks and financial institutions NOT regulated in Equivalent country, BUT covered by a Comfort Letter issued by their parent company regulated in an equivalent country

1. An original comfort letter issued by the parent company confirming it imposes on its branches and subsidiaries equivalent KYC and AML requirements to those in place in its home legislation;
2. FATCA: To provide GIIN if available. If entity is found in US, Form W9 to be provided. If entity is not found in US but controlled by US persons, W9 Form on all UBOs who have at least 20% controlling interests to be provided. If entity not found in US and no US controlling shareholder, W-8BEN-E Form or Self-Certification Form to be provided.

If Banks and financial institutions NOT regulated in Equivalent country AND Not covered by a comfort letter then below additional documents are required

1. Written confirmation issued by the bank/financial institution confirming that it invests on its own behalf ; If it cannot give this confirmation because it is acting in a nominee capacity, it

will be necessary for the bank/FI to register shares in its name but also including the name of each underlying investor (e.g. XYZ Bank – ref John Murphy). The standard identification checks will also have to be performed on the underlying investors.

2. An original duly signed and dated list of shareholders of the company issued on letterhead paper with the share allotment per shareholder
3. Identification documents of the ultimate shareholders/beneficiaries holding – directly or indirectly – more than 25% of the outstanding shares (or voting rights) of the company as per the relevant section of these guidelines;
4. An original beneficial ownership declaration signed by these ultimate beneficial owners including the confirmation of the source of the funds;
5. List of directors - alternatively a certificate of incumbency (available in certain countries only);
6. A current and dated list on company letterhead of authorised signatories who operate on the account ; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
7. IDs/Passports of two directors / legal representatives authorised to operate the account (certified true copy)
8. A simple copy or a print-out from the company's website of the latest audited financial report or equivalent.
9. FATCA: To provide GIIN if available. If entity is found in US, Form W9 to be provided. If entity is not found in US but controlled by US persons, W9 Form on all UBOs who have at least 20% controlling interests to be provided. If entity not found in US and no US controlling shareholder, W-8BEN-E Form or Self-Certification Form to be provided.

In case of multiple layers between the bank/financial institution and its ultimate beneficial owners, official documentary evidence of reliable and independent source of the link between the multiple layers has to be obtained (i.e. public registers with diagram of shareholder structure).

D. COMPANY

- a. Designated Persons - Companies Listed on a recognized stock exchange AND Domiciled in an Equivalent Country Recognized stock exchanges are listed by the "World Exchanges Organization" & Nominee Companies owned by designated person.

List available on <http://www.world-exchanges.org>

1. Evidence of the company's listing (webpage of the Stock exchange);
2. Certificate of incorporation
3. Latest list of authorised signatories who operate on the account; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
4. An original letter from the nominee's owner
5. Copy of bank statement in name of Corporation where subscription monies are being sent from
6. Certified true copy of the most audited balance sheet of the company

- b. If Companies NOT listed on a recognized stock exchange AND Domiciled in any country then below additional documents are required

1. Memorandum and Articles of Association;
2. Extract of the commercial register – alternatively a certificate of good standing (available in certain countries)

- only) (certified true copy) or a print-out of an extract from an official governmental website (e.g. Company's House in Great-Britain or Ireland);
3. A duly signed and dated original list of shareholders) of the company issued
 4. Identification documents (certified true copy) of the ultimate shareholders/beneficiaries holding – directly or indirectly – more than 25% of the outstanding shares (or voting rights) of the company as per the relevant section of the guidelines;
 5. An original beneficial ownership declaration signed by these ultimate beneficial owners including the confirmation of the origin of the funds;
 6. Details of the registered office and principal place of business or business address
 7. IDs/Passports of the two directors / legal representatives authorised to operate the account (certified true copy)
 8. Original list of directors – alternatively a Certificate of Incumbency (available in certain countries only);
 9. A simple copy or a print-out from the company's website of the latest audited financial report or equivalent.
 10. A current and dated list on company letterhead of authorised signatories who operate on the account; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
 11. Certified list of all natural persons (other than the directors) who have executive authority (or who act on behalf) of the Company;
 12. FATCA: To provide GIIN if available. If entity is found in US, Form W9 to be provided. If entity is not found in US but controlled by US persons, W9 Form on all UBOs who have at least 20% controlling interests to be provided. If entity not found in US and no US controlling shareholder, W-8BEN-E Form or Self-Certification Form to be provided.

In case of multiple layers between the investing company and its ultimate beneficial owners, official documentary evidence of reliable and independent source of the link between the multiple layers has to be obtained (i.e. public registers with diagram of shareholder structure).

c. If Partnership then:

1. Partnership agreement (certified true copy)
2. Evidence of registration: certificate of incorporation/extract of the commercial register – alternatively a certificate of good standing (available in certain countries only) (certified true copy) or a print-out from an extract from an official governmental website;
3. An original beneficial ownership declaration signed by these ultimate beneficial owners including the confirmation of the origin of the funds;
4. Identification documents of the directors / legal representatives authorised to operate the account (certified true copy);
5. A copy or a print-out from the company's website of the latest audited financial report or equivalent in the case of limited liability partnerships.
6. Latest list on company letterhead of authorised signatories who operate on the account; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only); Business Address or principal place of business;
7. Certified list of all natural persons who act on behalf of the Partnership;
8. Certified list of names of all managers, in the case of a limited liability partnership or a limited partnership registered in Singapore;

9. Evidence of the Partnership's membership with a relevant professional body, if applicable
10. Copy of bank statement in name of firm where subscription monies are being sent from

In case of multiple layers between the partnership and its ultimate beneficial owners, official documentary evidence of reliable and independent source of the link between the multiple layers has to be obtained (i.e. public registers with diagram of the structure).

N.B.: Nominee companies not regulated in equivalent countries are not allowed to hold omnibus positions in the register unless covered by a global comfort letter issued by a parent company regulated in an equivalent country).

E. INVESTMENT FUNDS

1. Designated Bodies - Regulated investment funds in Equivalent Countries

1. A copy or web downloads of the prospectus/offering memorandum or equivalent;
2. Evidence of regulation via the web page of the regulatory authority or certified true copy of the business license;
3. A current and dated list on company letterhead of authorised signatories who operate on the account; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
4. Copy of bank statement in name of fund where subscription monies are being sent from

2. Regulated Investment funds in Non-Equivalent Countries

1. A copy of the prospectus/offering memorandum or equivalent;
2. Evidence of regulation via the web page of the regulatory authority (print-out) or a certified true copy of the business license issued by the authority;
3. Original list of shareholders (with full names, date of birth, allotment per shareholder and total outstanding shares) of the Fund issued on letterhead paper, dated and signed or a written confirmation that no shareholder holds– directly or indirectly – more than 25% of the outstanding shares (or voting rights) of the Fund;
4. Copy of bank statement in name of fund where subscription monies are being sent from
5. Latest list of authorised signatories who operate on the account ; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
6. Identification documents (certified true copy) of the ultimate shareholders/beneficiaries (if any) holding – directly or indirectly – more than 25% of the outstanding shares (or voting rights) of the Fund as per the relevant section of the guidelines;
7. An original beneficial ownership declaration signed by these ultimate beneficial owners including the confirmation of the origin of the funds;

3. Non-regulated open and closed-ended investment funds: (located in any country)

1. A certified true copy or an original of the prospectus/offering memorandum;
2. Evidence of registration: certificate of incorporation /extract of the commercial register (certified true copy);
3. A duly signed and dated list of shareholders (full names and dates of birth) of the fund issued on

letterhead paper with the allotment per shareholder and the total outstanding shares of the fund;

4. Identification documents of the ultimate shareholders holding – directly or indirectly – more than 25% of the outstanding shares of the (or voting rights) Fund as per the relevant section of the guidelines;
5. An original beneficial ownership declaration signed by these ultimate beneficial owners including the confirmation of the origin of the funds;
6. Latest list of authorised signatories who operate on the account ; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
7. Certified true copies of the identification documents of the individuals authorised to operate the account;
8. A simple copy or a print-out from the company's website of the latest audited financial report (and a certified true copy for not regulated funds in non-equivalent country);
9. Copy of bank statement in name of Corporation where subscription monies are being sent from

F. PENSION FUNDS / PENSION PLANS / PENSION SCHEMES

a. Pension Funds/Plans/Schemes Regulated in equivalent countries

1. Evidence of regulation via the web page of the regulatory authority (print-out) or certified true copy of the business license issued by the authority;
2. Latest list of authorised signatories who operate on the account; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
3. Bank statement in name of Pension scheme where subscription monies are remitted
4. Copy of most recently audited financial report

b. Pension Funds/Plans/Schemes Domiciled in Equivalent countries but NOT Regulated

1. Certificate of registration/incorporation (certified true copy);
2. Rules of the pension fund/plan/scheme or equivalent (certified true copy);
3. Identification documents of the beneficiaries holding – directly or indirectly – more than 25% of the outstanding shares (or voting rights) of the pension fund /plan/scheme or a written confirmation that no beneficiary holds more – directly or indirectly – than 25% of the outstanding shares (or voting rights) of the Pension Fund/Plan/Scheme;
4. An original beneficial ownership declaration signed by these ultimate beneficial owners including the confirmation of the origin of the funds;
5. Latest list of authorised signatories who operate on the account ; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
6. Identification documents of the individuals authorised to operate the account (certified true copy);
7. Bank statement in name of Pension scheme where subscription monies are remitted
8. Copy of controllers register
9. Copy of most recent audited financial report
10. SOF & SOW for Beneficiary

c. Pension Funds/plans/schemes Domiciled In Equivalent Countries But Not Regulated, And Established As A Trust

1. Rules of the pension fund/plan/scheme or equivalent

(not required if the rules are already set out in the Trust Deed) (certified true copy);

2. Identification documents of the beneficiaries holding – directly or indirectly – more than 25% of the outstanding shares (or voting rights) of the pension fund /plan/scheme or a written confirmation that no beneficiary holds more – directly or indirectly – than 25% of the outstanding shares (or voting rights) of the Pension Fund/Plan/Scheme;
3. An original beneficial ownership declaration signed by these ultimate beneficial owners including the confirmation of the origin of the funds;
4. A current and dated list on company letterhead of authorised signatories who operate on the account ; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
5. Bank statement in name of Pension scheme where subscription monies are remitted
6. Copy of controllers register
7. Copy of most recent audited financial report
8. SOF & SOW for Beneficiary
9. Identification documents of the individuals authorised to operate the account (certified true copy);
10. The Trust deed along with all deeds of variation/ amendments (certified true copy)
11. Identification documents of (certified true copy):
 - the trustee(s)
 - the employer (also called Settlor, Donor or Grantor),
N.B.: The Trustees, Settlor, Donor or Grantor must be identified depending on their profiles.

d. Pension Funds/Plans/Schemes Regulated in Non-equivalent countries

1. Evidence of regulation via the web page of the regulatory authority (print-out) or certified true copy of the business license issued by the authority;
2. Rules of the pension fund/plan/scheme or equivalent (certified true copy) including information on the functioning of the pension fund (i.e. information on whether the investments are made freely or whether they are directly taken on the employees wages and redemptions made at the end of the product life or after a long term investment);
If not covered in the rules of the pension fund, an original letter explaining the functioning of the pension fund;
3. Identification documents of the beneficiaries holding – directly or indirectly – more than 25% of the outstanding shares (or voting rights) of the pension fund /plan/scheme or a written confirmation that no beneficiary holds more – directly or indirectly – than 25% of the outstanding shares (or voting rights) of the Pension Fund/Plan/Scheme;
4. An original beneficial ownership declaration signed by these ultimate beneficial owners including the confirmation of the origin of the funds;
5. Latest list of authorised signatories who operate on the account ; bearing the full names, powers granted and signature specimen of the authorised individuals (simple copy only);
6. Identification documents of the individuals authorised to operate the account (certified true copy);

7. Bank statement in name of Pension scheme where subscription monies are remitted
8. Copy of controllers register
9. Copy of most recent audited financial report
10. SOF & SOW for Beneficiary

G. TRUSTS

1. latest list of authorised signatories who operate on the account ; bearing the full names,powers granted and signature specimen of the authorised individuals (simple copy only);
2. Trust deed along with all deeds of variation/amendments (certified true copy);
3. Identification documents of the Trustee, as per the relevant section of these guidelines (certified true copy);
4. Identification documents of the ultimate beneficiaries holding – directly or indirectly – more than 25% of the assets (or voting rights) of the Trust, as per the relevant section of these guidelines;
5. An original beneficial ownership declaration signed by these shareholders/ultimate beneficial owners (if any) including the confirmation of the origin of the funds;
6. Identification documents of the Settlor/Donor/Grantor, as per the relevant section of these guidelines (certified true copy);
7. Identification documents of the Protector, (if any), as per the relevant section of these guidelines (certified true copy)
8. Bank statement in name of Trust where subscription monies are being sent from

N.b.: If the Trustee(s) is (are all) regulated in an equivalent country, only the points 1 and 2 are required in addition to the proof of regulation of the Trustee(s) (it is understood here that the units/shares are registered in the name of the Trust).

H. COLLECTIVE INVESTMENT SCHEME/ FUND

- i. Copy application form with all required information- original to follow
- ii. Recent and up-to-date extract from the companies register (or equivalent supporting document)(copy or extract from accepted website); the following information shall be gathered from this document :
exact and complete company name/denomination, legal form, address, directors
- iii. Copy of fund prospectus (obtained independently)
- iv. Copy of constitutive documents
- v. Copy of most recent audited financial report
- vi. Copy of authorised signatory list
- vii. Copy of Directors register
- viii. Copy of ID and 1 x proof of address for 2 x Directors
- ix. Copy of ID and 1 x proof of address for any UBO owning more than 25% of the funds shareholding
- x. Copy of declaration of type of investors and geographic location of Investor base
- xi. Copy of bank statement in name of Collective investment scheme where subscription monies are being sent from

Annexure B

Declaration of residence outside Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of shares. Terms used in this declaration are defined in the Prospectus.

Declaration on own behalf

I/we* declare that I am/we are* applying for the shares on my own/our own behalf/on behalf of a company* and that:

- I am/we are/the company* is entitled to the shares in respect of which this declaration is made and that I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and
- should I/we/the company* become resident in Ireland I will/we will* so inform you, in writing, accordingly.

*Delete as appropriate

Signature of applicant or authorised signatory: _____ (declarant) Capacity of authorised signatory (if applicable): _____ Date: _____

Joint applicants

Declaration as Intermediary

I/we* declare that I am/we are* applying for shares on behalf of persons:

- who will be beneficially entitled to the shares; and,
- who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we* also declare that:

- unless I/we* specifically notify you to the contrary at the time of application, all applications for shares made by me/us* from the date of this application will be made on behalf of such persons; and,
- I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* holds shares, becomes resident in Ireland.

*Delete as appropriate

Name and address of applicant: _____

Names _____

Signatures _____

IMPORTANT NOTES

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

Annexure C

Return of Values (Investment Undertakings) Regulations 2013

Pursuant to the Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013) (the "Regulations") and Section 891C of the Taxes Consolidation Act 1997, the Company is required to collect certain information from persons who are Irish resident or ordinarily resident (other than Excepted Share Holders as set out in the list below).

If the Applicant is Irish resident or non-Irish resident and is not an Excepted Share Holder, please provide the following information and documentations: Tax Identification Number (TIN) / PPS Number:

Any one of the following additional documents is required to verify the TIN or PPS Number (either an original or a copy will suffice):

- P60
- P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number)
- Drug Payment Scheme Card
- Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award Letter / Book
- Pension book
- Social Services Card
- Public Services Card

In addition, printed documentation issued by the Revenue Commissioners of Ireland or the Department of Social Protection which includes your name, address and tax reference number is also acceptable.

In the case of joint account holders, the additional documentation is required for each Applicant.

Excepted Share Holders	
Share Holders	Taxes Consolidation Act 1997 (TCA) reference
The following resident entities will constitute Excepted Share Holders provided the Fund has obtained a duly completed appropriate declaration:	
An investment undertaking	739D(6)©
An investment limited partnership	739D(6)(cc)
A pension scheme which is an exempt approved scheme	739D(6)(a)
A company carrying on a life assurance business	739D(6)(b)
A special investment scheme	739D(6)(d)
A unit trust to which section 731(5) applies	739D(6)(e)
A charity	739D(6)(f)
ARFs, AMRFs	739D(6)(h)
A qualifying fund manager	739D(6)(g)
A qualifying savings manager	739D(6)(g)
PRSA providers	739D(6)(l)
The National Pensions Reserve Fund	739D(6)(l)
The National Asset Management Agency	739D(6)(ka)
A Section 110 Company	739D(6)(m)
A Credit Union	739D(6)(j)
An Irish resident company but only where the Fund is a money market fund	739D(6)(k)
A unit holder who holds their units in a recognised clearing system	739B(1)(d)(i)(III) / 739G(3)
A unit holder where the investment undertaking, immediately before a chargeable event, holds a non-resident declaration made by the person who made the investment; or, where the investment undertaking has put in place equivalent measures with the written approval of the authorised Revenue officer	739D(7) 739D(7B)

Annexure D

Entity Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please ensure that we are advised of these changes promptly.

(Mandatory fields are marked with an*)

Investors that are individuals should not complete this form and should complete the form entitled "Individual Self-Certification for FATCA and CRS".

Section 1: Investor Identification

Investor Name* (the "Entity")

Country of Incorporation or Organisation

Current Registered Address*:

Number Street

City, town, State, Province or Country

Postal/ZIP Code Country

Mailing address (if different from above):

Number Street

City, town, State, Province or Country

Postal/ZIP Code Country

Section 2: FATCA Declaration Specified U.S. Person

Please tick either (a), (b) or (c) below and complete as appropriate.

a) The Entity is a Specified U.S. Person and the Entity's U.S. Federal Tax payer Identifying number (U.S. TIN) is as follows:

U.S. TIN: _____

Or

b) The Entity is not a Specified U.S. Person (please also complete Sections 3, 4 and 5)

Or

c) The Entity is a US person but not a Specified U.S. Person (please also complete Sections 3, 4 and 5)

Section 3: Entity's FATCA Classification*

(The information provided in this section is for FATCA, please note your classification may differ from your CRS classification in Section 5):

3.1 Financial Institutions under FATCA

If the Entity is a Financial Institution, please tick one of the below categories and provide the Entity's GIIN at 3.2

I	Irish Financial Institution or a Partner Jurisdiction Financial Institution	
II	Registered Deemed Compliant Foreign Financial Institution	
III	Participating Foreign Financial Institution	

3.2 Please provide the Entity's Global Intermediary Identification number (GIIN)

3.3 If the Entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons:

I	The Entity has not yet obtained a GIIN but is sponsored by another entity which does have a GIIN Please provide the sponsor's name and sponsor's GIIN : Sponsor's Name Sponsor's GIIN	
II	Exempt Beneficial Owner	
III	Certified Deemed Compliant Foreign Financial Institution (including a deemed compliant Financial Institution unde Annex II of the Agreement)	
IV	Non-Participating Foreign Financial Institution	
V	Excepted Foreign Financial Institution	

3.4 Non-Financial Institutions under FATCA:

If the Entity is not a Financial Institution, please tick one of the below categories

I	Active Non-Financial Foreign Entity	
II	Passive Non-Financial Foreign Entity (If this box is ticked, please include self-certification forms for each of your Controlling Persons)	
III	Excepted Non-Financial Foreign Entity	

Section 4: CRS Declaration of Tax Residency (please note that you may choose more than one country)*

(Please indicate the Entity's country of tax residence for CRS purposes, (if resident in more than one country please detail all countries of tax residence and associated tax identification numbers ("TIN"))).

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a (TIN).

If the Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate that below and provide its place of effective management or country in which its principal office is located.

Country of Tax Residency	Tax ID Number

Section 5: Entity's CRS Classification*

*(The information provided in this section is for CRS. Please note an Entity's CRS classification may differ from its FATCA classification in Section 3): For more information please see the CRS Standard and associated commentary.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

5.1 Financial Institutions under CRS:

If the Entity is a Financial Institution, please tick one of the below categories

I	Financial Institution under CRS (other than (II) below)	
II	An Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity and complete a separate individual self-certification forms for each of your Controlling Persons**)	

5.2 Non Financial Institutions under CRS:

If the Entity is a Non Financial Institution, please tick one of the below categories

I	Active Non-Financial Entity - a corporation the stock of which is regularly traded on an established securities market or a corporation which is a related entity of such a corporation	
II	Active Non-Financial Entity - a Government Entity or Central Bank	
III	Active Non-Financial Entity - an International Organisation	
IV	Active Non-Financial Entity - other than (I)-(III) (for example a start-up NFE or a non-profit NFE)	
V	Passive Non-Financial Entity (If this box is ticked, please complete a separate Individual Self-Certification Form for each of your Controlling Person(s))	

****Controlling Person's:**

NB: Please note that each Controlling Person must complete a Separate Individual Self-Certification form.

If there are no natural person(s) who exercise control of the Entity then the Controlling Person will be the natural person(s) who hold the position of senior managing official of the Entity.

For further information on Identification requirements under CRS for Controlling Persons, see the Commentary to Section VIII of the CRS Standard <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

Section 6: Declarations and Undertakings

I/We declare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete.

I/We acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I/We undertake to advise the recipient promptly and provide an updated Self-Certification where any change in circumstance occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*

Print Name(s)*

Capacity in which declaration is made*

Date:(dd/mm/yyyy):*

FATCA and CRS - Individuals Self-Certification form

Individual Investors should complete this form.

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at

<http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. For further guidance see. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an *)

If you have any questions about how to complete this form, please contact your tax advisor.

Individual (Controlling Person's) Self-Certification for FATCA and CRS

Instructions for completion

We are obliged under Section 891 E, Section 891 F and Section 891 G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser.

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA / CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form. Sections 1,2,3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. For further guidance see.

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an*)

Section 1: Investor Identification

Investor Name*

Current Registered Address*:

Number Street

City, town, State, Province or Country

Postal/ZIP Code Country

Mailing address (if different from above):

Number Street

City, town, State, Province or Country

Postal/ZIP Code Country

Place Of Birth*:

Town or City of Birth* Country of Birth*

Date of Birth*

Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purposes*

Please tick either(a) or (b) and complete as appropriate

a) I confirm that [I am]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal tax payer identifying number (U.S. TIN) is as follows:

OR

b) I confirm that [I am not]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

Section 3: CRS Declaration of Tax Residency (please note you may chose more than one country)*

Please indicate your/the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers("TIN"). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN)is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

Section 4: Type of Controlling Person

(ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution)

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.	Please Tick	Entity Name
Controlling Person of a legal person – control by ownership		
Controlling Person of a legal person - control by other means		
Controlling Person of a legal person - senior managing official		
Controlling Person of a trust - settlor		
Controlling Person of a trust - trustee		
Controlling Person of a trust - protector		
Controlling Person of a trust - beneficiary		
Controlling Person of a trust - other		
Controlling Person of a legal arrangement (non-trust) - settlor-equivalent		
Controlling Person of a legal arrangement (non-trust) - trustee-equivalent		
Controlling Person of a legal arrangement (non-trust) - protector-equivalent		
Controlling Person of a legal arrangement (non-trust) - beneficiary-equivalent		
Controlling Person of a legal arrangement (non-trust) - other-equivalent		

Section 5: Declaration and Undertakings

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature(s)*

Print Name(s)*

Date:(dd/mm/yyyy):*

Capacity in which declaration is made*

ANNEXURE E

Declaration and Accredited Investor Consent Form

To:

Aditya Birla Sun Life Asset Management Company Pte Ltd
16 Raffles Quay #32-04, Hong Leong Building
Singapore 048581

Attention: Operations/Compliance Team

Telephone: +65 65362721

Email: ABSLAMCOpssg@adityabirlacapital.com

The Applicant makes one of the following representations regarding the applicant's status as an accredited investor [in accordance with Section 4A of the Securities Futures Act (Chapter 289) and the Securities and Futures (Amendment) Act 2017 (collectively referred as the "SFA Act")], and has checked the applicable representation:

(A) if an individual; a person with

- net personal assets¹ of S\$2 million or equivalent in foreign currency. The fair market value of the individual's primary residence, net of all liabilities, must not account for more than S\$1 million of total net personal assets; or
- net financial assets² exceeding S\$1 million; or
- income in the preceding 12 months is not less than S\$300,000 or its equivalent in foreign currency; or
- a person who holds a joint account with an accredited investor, where investments are made through the referenced joint account

(B) if a non-individual,

- an entity or corporation with net assets exceeding S\$10 million in value or equivalent in foreign currency, based on the most recent audit balance-sheet of the entity; or
- a partnership (other than a limited liability partnership) in which every partner is an accredited investor; or
- a corporation where the entire share capital of which is owned by one or more persons, all of whom are accredited investors; or
- any bare trust where all beneficiaries are accredited investors; or
- any bare trusts which holds more than S\$10 million or equivalent in foreign currency.

¹Net personal assets are calculated as total assets minus total liabilities. Net value of primary residence is calculated as the estimated fair market value of the residence after deducting outstanding amounts under mortgage, and this amount must be capped at SGD 1 million of the SGD 2 million threshold.

²Net financial assets are calculated as total financial assets minus related liabilities. Examples of financial assets are "deposits" (as defined in section 4B of the Banking Act, Chapter 19) and "investment products" such as securities, securities-based and other derivatives contracts, collective investment schemes, and life policies (as defined in section 2(1) of the FAA). Examples of related liabilities include a margin account and credit lines taken to finance an investment portfolio. Mortgages on real estate or car loans would not need to be included, since real estate and cars are not considered financial assets.

Authorisation and Consent Clarification Agreement

- 1) I/we* the undersigned, hereby confirm and agree:
 - a) That ABSLAMC SG has assessed and concluded that I/we* met the Accredited Investor requirement in accordance with the “**SFA Act**”, which may be amended from time to time;
 - b) I/we* “opt-in” and give my/our* consent that for all ABSLAMC SG transactions or ABSLAMC SG funds entered into, I/we* shall be treated by ABSLAMC SG as an Accredited Investor for the purposes of the Accredited Investor consent provisions².
 - c) I/we* can withdraw the consent or “opt-out” at any time, **in writing**, in which ABSLAMC SG must not (after the period of time specified in the statement) treat us as an Accredited Investor.
- 2) I/we* are aware that if we do not expressly provide an “opt-in” consent or withdraw our consent as an Accredited Investor, I/we* will automatically be treated by ABSLAMC SG as a Retail Investor/Customer³.
- 3) If I/we* agree to “opt-out” or withdraw our consent as an Accredited Investor, ABSLAMC SG investments held by us or entered before the date of “opt-out” or withdrawal notification remains unaffected. However, I/we* understand that I/we* will no longer be eligible to be considered as an Accredited Investor for any future ABSLAMC SG fund offerings from the date of “opt-out” or withdrawal.
- 4) I/We agree and undertake to promptly inform ABSLAMC SG if I/we cease at any time to qualify and/or be deemed as an “accredited investor” (as defined in the SFA).
- 5) I/We agree and confirm that all my/our particulars which are presently maintained in ABSLAMC SG’s records as at the date hereof, and the declarations made herein, are true and correct to the best of my/our knowledge and belief, and that ABSLAMC SG shall be duly entitled to rely on the accuracy of such information without any further investigations on its part. I/We further undertake to promptly notify ABSLAMC SG of any changes to such information.
- 6) This Declaration and consent shall be incorporated into and form part of ABSLAMC’s Onboarding Terms and Conditions and all the terms and conditions set out in your respective funds Offering Documents Terms and Conditions shall similarly apply to this Declaration.

General Warning

Accredited investors are assumed to be better informed, and better able to access resources to protect their own interests, and therefore require less regulatory protection. Investors who agree to be treated as accredited investors therefore forgo the benefit of certain regulatory safeguards. For example, issuers of securities are exempted from issuing a full prospectus registered with the Monetary Authority of Singapore in respect of offers that are made only to accredited investors, and intermediaries are exempted from a number of business conduct requirements when dealing with accredited investors. Investors should consult a professional adviser if they do not understand any consequence of being treated as an accredited investor.

Name & Signature(s)

Date

If you have declared yourself as an “**Accredited Investor**”, please provide either of the following as supporting documents:

- a) Original or certified true copy of latest Income Tax Assessment from IRAS; or
- b) Original or certified true copy of latest bank statements; or
- c) Original or certified true copy of letter from employer certifying employment and income in the preceding 12 months; or
- d) Original or certified true copy of letter from lawyer certifying net assets (letter must not be more than 6 months old from the date of this declaration)

² Consent Provisions include but are not limited to relevant sections of SFA Act; Securities and Futures (Licensing and Conduct of Business) Regulations; Securities and Futures (Classes of Investors) Regulations 2018.

³ SF(LCB)R. Retail investor/customer means an investor other than an accredited, expert or institutional investor.

PRIVACY NOTICE

Introduction

This notice sets out details of how and why ABSL Umbrella UCITS Fund plc of Riverside One, Sir John Rogerson's Quay, Dublin 2, Ireland (the "Company", "we", "us", "our") and third parties acting on our behalf collect and process personal information in connection with investments in Sub Funds (the "Funds"). We do this in compliance with our obligations under applicable data protection law. This notice explains what personal data is collected, the purposes for which it is used, the third parties to whom it may be disclosed and how individuals can exercise their rights in relation to their personal data.

This notice applies to the collection and processing of personal information relating to any individual investor in the Funds and personal information relating to individuals associated with corporate investors in the funds, such as a corporate investor's directors, shareholders, employees, professional advisers and other personnel. References to "you" and "your" mean the relevant individuals who are the subjects of the personal data to which this notice relates and "your company" means a corporate investor with which an individual is associated. Corporate investors should ensure that this notice is provided to any individual whose personal data the corporate investor has provided to us, such as its directors, shareholders, employees, and other personnel, within one month of completion of the Application Form.

We also refer you to the CRS Customer Information Notice in our Application Form which contains certain further information relating to our use of your personal information.

About Us

The Company is the controller of the personal data collected via the Application Form. The Company engages third party service providers to process such personal data on behalf of the Company and those third parties act as processors. If you have any questions about the use of your personal data by or on behalf of the Company, please contact us at the above address.

In some circumstances, RBC Investor Services Ireland Limited (the "Administrator") may act as a separate controller of your personal data for its own purposes. If you have any questions about the use of your personal data by the Administrator acting on its own behalf, you should contact the Administrator.

Personal Data that we Process

We collect personal data relating to you that is provided to us in the application and in connection with our dealings with you or your company in relation to investments in the Fund, including your name, signature, postal address, email address, fax number, date of birth, and other contact details.

We may also collect personal data relating to you in connection with ensuring compliance with our legal obligations including your PPS number; passport number; photographic identification and verification such as copies of your passport; and address verification. For the purposes of carrying out due diligence, we may also collect information relating to your status as an ultimate beneficial owner of an entity, or as a politically exposed person.

We may collect and process personal data relating to you in connection with our on-going relationship with you or your company, such as via correspondence and calls, and in connection with our administration of you or your company's investment in the Fund. We may record telephone calls for the purposes of record keeping, security and training.

In addition, we may collect personal data relating to you from third party sources in connection with complying with legislation relating to anti-money laundering, taxation, and other legislation applicable to investment funds.

We require you to complete the mandatory fields identified in our Application Form for the purpose of entering into a contract with you or your company. Please note that some of the information required by our Application Forms is necessary in order for us to administer your or your company's investment in the Fund and if you or your company do not provide us with these required details, we may decline your or your company's application to become an investor. Please be advised that you may be contractually obliged to ensure that certain information that we hold relating to you is up to date pursuant to the Application Form.

Purposes of Processing and Legal Basis

Personal data that you or your company provides in your Application Form, or that we otherwise obtain in relation to you, will be processed for the following purposes:

- processing your or your company's application to become an investor;
- establishing your identity, and providing, servicing and administering your or your company's investment;
- complying with our legislative and regulatory obligations in connection with our dealings with you or your company, including under applicable law regarding anti-money laundering, taxation, the regulation of collective investment schemes, or the provision of financial services;
- if applicable, processing the fact that you are a politically exposed person, to comply with applicable legal obligations;
- to communicate with you by way of notice pursuant to applicable legislation or the Company's Constitution;
- maintaining appropriate business records, including maintaining appropriate registers of shareholders;
- statistical analysis and market research;
- internal training and management of personnel;
- to respond to or evaluate any queries or complaints in relation to your or your company's investment;
- internal and external audits and, where necessary, investigations; and
- establishing, exercising or defending legal claims.

The legal grounds that we rely on to process your personal data are:

- that this is necessary to comply with our legal obligations;
- that this is necessary for the purposes of our legitimate interests or the legitimate interests of a third party to whom we provide your personal data. We will not process your personal data for these purposes if our or the third party's legitimate interests should be overridden by your own interests or fundamental rights and freedoms. The legitimate interests pursued by us in this regard include:
 - conducting our business in a responsible and commercially prudent manner and dealing with any disputes that may arise;
 - preventing, investigating or detecting theft, fraud or other criminal activity;
 - pursuing our corporate and social responsibility objectives;

- where you are an individual investor, that this is necessary to take steps at your request prior to entering into our contract with you and for the performance of our contract with you;

Recipients of Data

We may disclose your personal data to various recipients in connection with the above purposes, including:

- to KBA Consulting Management Limited, the Manager
- to the Administrator;
- to Aditya Birla Sun Life Asset Management Company Pte Ltd, the Investment Manager;
- to a distributor of the Fund;
- to the Revenue Commissioners and other tax authorities as required by applicable law, including FATCA or CRS;
- to the Central Bank of Ireland, auditors, or other competent regulatory authorities and bodies as requested or required by law;
- to other third parties who we engage to provide services to us, such as professional advisers, auditors and IT service providers;
- to credit reference agencies in order to carry out money laundering and identity checks and to comply with legal obligations; and
- to other members of our corporate group.

Transfers Abroad

In connection with the above purposes we may transfer your personal data outside the European Economic Area, including to a jurisdiction which is not recognised by the European Commission as providing for an equivalent level of protection for personal data as is provided for in the European Union. If and to the extent that we do so, we will ensure that appropriate measures are in place to comply with our obligations under applicable law governing such transfers, which may include: (a) entering into a contract governing the transfer which contains the “standard contractual clauses” approved for this purpose by the European Commission; (b) in respect of transfers to the United States of America, ensuring that the transfer is covered by the EU-US Privacy

Shield framework; or (c) transferring your personal data pursuant to binding corporate rules.

Further details of the measures that we have taken in this regard and the territories to which your personal data may be transferred are available by contacting us at our address set out at the beginning of this form.

Retention

We will retain your personal data for the duration of your or your company’s investment in the Fund and for such a period of time after the investment ends as is necessary to comply with our obligations under applicable law and, if relevant, to deal with any claim or dispute that might arise.

Your Rights

You have the following rights, in certain circumstances and subject to applicable exemptions, in relation to your personal data:

- the right to access the personal data that we hold about you, together with other information about our processing of that personal data;
- the right to require us to rectify any inaccuracies in your personal data;
- the right to require us to erase your personal data;
- the right to request that we no longer process your personal data for particular purposes;
- the right to object to our use of your personal data or the way in which we process it; and
- where the legal basis for processing is that it is necessary for the performance of a contract with you, the right to receive your personal data, which you provided to us, in a structured, commonly used and machine-readable format or to require us to transmit that data to another controller.

In order to exercise any of the rights set out above, please contact us at the address set out at the beginning of this form.

Complaints

If you are not happy with the way we have used your information or addressed your rights, you have the right to lodge a complaint with the Irish Data Protection Commission (info@dataprotection.ie) or your local supervisory authority.

Key Information	
Legal Structure	Investment Company
Domicile & Tax Residence	Ireland
Fund Structure	UCITS
Fiscal Year End	December 31
Minimum Subscription	Will vary depending on the share class
Net Asset Value Frequency	Every Business Day
Available Investment Share Class	Participating Shares
Manager	KBA Consulting Management Limited
Investment Manager	Aditya Birla Sun Life Asset Management Company Pte. Ltd.
Auditors	Ernst & Young
Depository	RBC Investor Services Bank S.A., Dublin Branch
Administrator	RBC Investor Services Ireland Limited